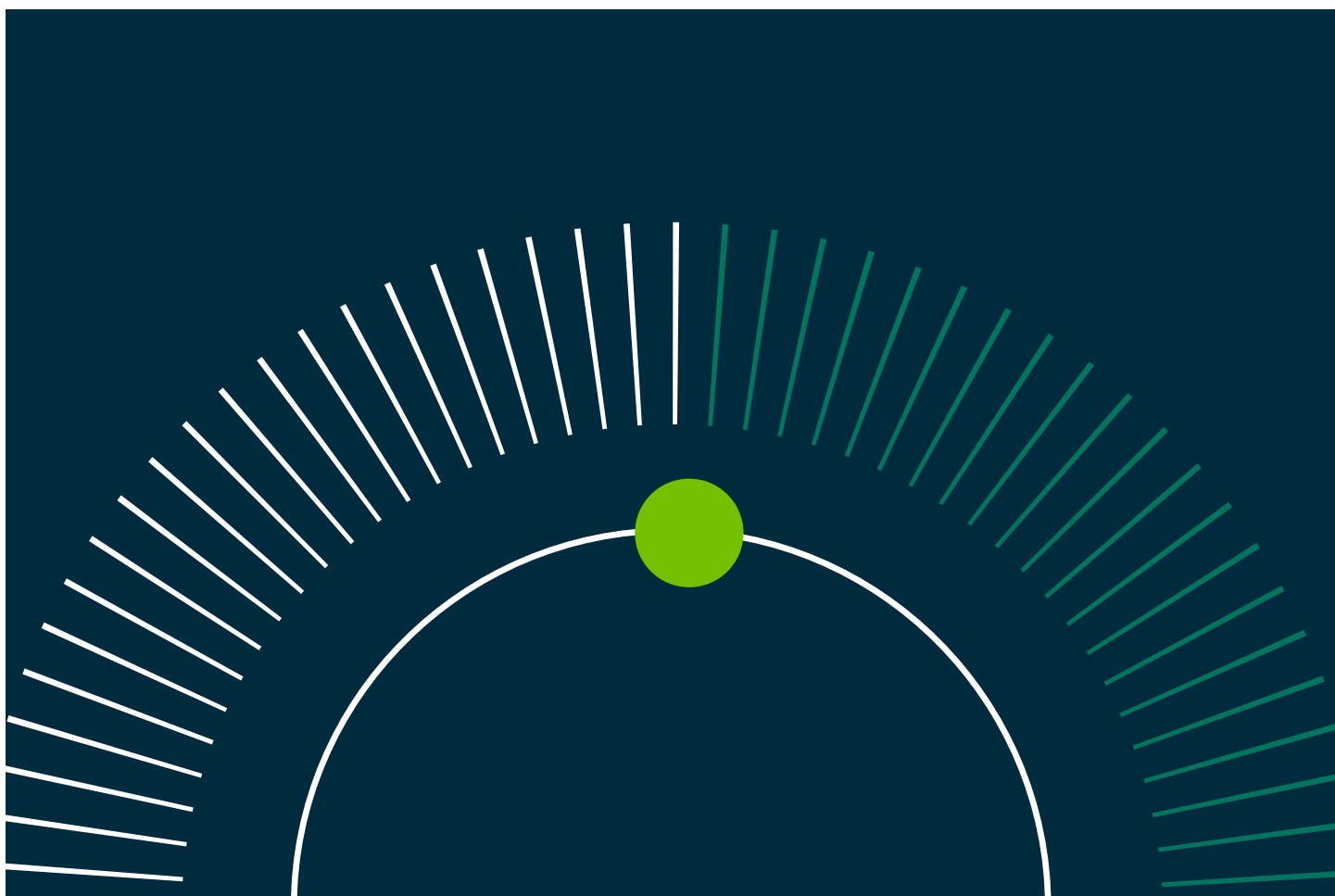


Collibra SOC 1 Overview

Issued as of December 2020



Overview

The American Institute of Certified Public Accountants (AICPA) has developed the Service Organization Controls (SOC) framework, a standard for controls that safeguard the confidentiality and privacy of information stored and processed in the cloud. This aligns with the International Standard on Assurance Engagements (ISAE), the reporting standard for international service organizations.

Service audits based on the SOC framework fall into two categories — SOC 1 and SOC 2 — that apply to Collibra Data Intelligence Cloud.

A SOC 1 audit, intended for CPA firms that audit financial statements, evaluates the effectiveness of a cloud service provider (CSP) like Collibra's internal controls that affect the financial reports of a customer using the provider's cloud services. The Statement on Standards for Attestation Engagements (SSAE 18) and the International Standards for Assurance Engagements No. 3402 (ISAE 3402) are the standards under which the audit is performed and are the basis of the SOC 1 report.

At the conclusion of a SOC 1 or SOC 2 audit, the service auditor renders an opinion in a SOC 1 Type 2 or SOC 2 Type 2 report, which describes the CSP's system and assesses the fairness of the CSP's description of its controls. It also evaluates whether the CSP's controls are designed appropriately, were in operation on a specified date, and were operating effectively over a specified time period.

Collibra and SOC 1 Type 1 Compliance

Collibra adheres to the SOC 1 Standards for Collibra Data Intelligence Cloud. We are audited annually to ensure that our controls are still in place and working as expected. The audit covers controls related to security, availability and privacy as applicable. A copy of the report is available to customers or prospects under NDA upon request.

Collibra – Built securely for the future

Collibra Data Intelligence Cloud is built for both today's digital transformation and the data challenges of tomorrow. This includes both private and public organizations that can utilize our world class data intelligence capabilities. Our commitment to SOC 1 and SOC 2 attestation shows this and we will continue to support the security requirements of our partners. Security is at the core of everything we do, and we believe our clients will be able to use Collibra in the cloud with confidence.

SOC 1 Audit

Evaluates the effectiveness of a cloud service provider (CSP) like Collibra's internal controls that affect the financial reports of a customer using the provider's cloud services